

USA PATRIOT Act of 2001 requires financial institutions, including dealers in precious Metals, to establish an anti-money laundering program to deter and detect money laundering and the financing of terrorism. By transacting business with **Spectral Gold LLC**, Customer agrees to the USA PATRIOT Act provisions and other provisions of **Spectral Gold's** General Terms and Conditions, including specifically that the Customer:

- (1) Has a written program for anti – money laundering that complies with the USA PATRIOT Act and the Bank of Secrecy Act, or
- (2) Is not a “dealer in precious metals, stones and jewels” as defined in the USA PATRIOT Act, or
- (3) Is exempt from compliance therewith by one of the following:

**Retailer exemption:** Retailers that purchase only from other dealers who follow a U.S. anti – money laundering program are exempt from compliance. Additionally, retailers who purchase less than \$50,000 of covered goods from non – U.S. dealers and members of the general public are exempt from compliance.

**Pawnbroker exemption:** Businesses properly licensed and registered as pawnbrokers under state or municipal law are specifically exempted from the Interim Final Rule’s definition of “dealer”, and are not required to comply.

**Prospective customer’s full legal name:**

**Customer’s street business address (P.O. boxes are NOT acceptable addresses):**

**City:**

**State or Province:**

**Country:**

**Telephone:**                      **Fax number:**                      **E-mail address:**                      **Tax I.D. number:**

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(EIN, SS#, Drivers License #)

**Industry:**

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(ie. Precious Metals Dealer)

**Entity Type:**

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(Partnership, LLC, Corp, Sole Proprietor)

**Are you in compliance with the USA Patriot Act and its Anti-Money Laundering statutes, or are you exempt? (Please mark the correct answer with a check):**

**Yes**, my company *is* in compliance with the Patriot Act as it concerns our industry.

**No**, my company *is not* in compliance with the Patriot Act as it concerns our industry.

We are **exempt** from the Patriot Act as it concerns our industry.

**Owner(s) of business (full legal name and their respective roles):**

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**Banking information (where accounts are held, contact information for your banking account officer):**

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**List three trade references (contact name, business name and telephone number):**

- 1.
- 2.
- 3.

**ACKNOWLEDGEMENT AND AGREEMENT**

Customer, by the below signature of it's duly authorized representative, represents that all the information provided on this Business Account Information Form is true and accurate. Certifies that the indicated business either has in place a written anti-money laundering program or is exempt from this requirement, and that Customer has read, understands, consents to an agrees to be bound by Spectral Gold LLC terms and conditions.

\_\_\_\_\_  
**Customer Signature**

\_\_\_\_\_  
**Printed Name of Authorized Representative**

\_\_\_\_\_  
**Title**

\_\_\_\_\_  
**Signature of Authorized Representative**

\_\_\_\_\_  
**Date**

**Please Print and Complete Application and Send with Shipment or Prior to Shipment.**